Customer No.: 07278 Docket No.: 04488/000M686-US0

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Robert Silman

Serial No.: T.B.A.

Art Unit: T.B.A.

Filed:

Concurrently Herewith

Examiner: T.B.A.

For:

SYSTEM AND METHOD FOR INVESTMENT IN A PORTFOLIO OF

**ENTERTAINMENT PRODUCTIONS** 

**INFORMATION DISCLOSURE STATEMENT** 

MAIL STOP PATENT APPLICATION Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

In order to comply with 37 CFR 1.97 and 1.98, attached hereto is a copy of Form PTO/SB/08a and copies of the documents listed thereon.

Pursuant to the Notice issued by the United States Patent and Trademark Office dated July 11, 2003 waiving the requirements of 37 C.F.R. § 1.98(a)(2)(i), copies of United States Patent and patent application publications on W:\04488\000M686-US0\00079337.WPD [\*04488000M686-US0\*] /font = 10

PTO/SB/08a are not submitted.

In accordance with MPEP Sections 609 and 707.05(b), it is requested that each document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO/SB/08a be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing Form PTO/SB/08a next to the document. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

This submission is filed before a first action on the merits has been mailed.

The present Information Disclosure Statement is being submitted in compliance with 37 CFR 1.56, but the citation of such document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner, in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104(a) and in the course of each search, will review for

Serial No. T.B.A.

Docket No. 04488/000M686-US0

relevance every document cited on the attached form even if not initialed.

It is believed that no fee is due. However, if the Commissioner determines that a fee is due, the Commissioner is hereby authorized to charge Deposit Account No. 04-0100 for any deficiency.

Early and favorable consideration is earnestly solicited.

Respectfully submitted,

Dated: October 31, 2003

Louis J. DelJurdice Registration No. 47,522

Agent for Applicant(s)

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Substitute for form 1449A/B/PTO		Complete if Known			
				Application Number	Not Yet Assigned
11	<b>NFORMATION</b>	N DI	SCLOSURE	Filing Date	Concurrently Herewith
S	STATEMENT BY APPLICANT (Use as many sheets as necessary)			First Named Inventor	Robert Silman
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	04488/000M686-US0

			U.S. PA	TENT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> ( if known)	Publication Date MM-DD-YYYY	Name of Patentee or . Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US-5,987,433	11-16-1999	Crapo	
	AB	US-2002/0161679-A1	10-31-2002	Randolph et al.	
	AC	US-2002/0032630-A1	03-14-2002	Peng et al.	
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	ΑZ	US-5,812,987	09-22-1998	Luskin et al.	

FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Date MM-DD-YYYY			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Cite Initials No.1					
	CA	Deed of Limited Liability Partnership - Tambar Transatlantic Theatre LLP (conformed copy) as of December 11, 2002 - Circulated January 14, 2003			
	СВ	Tambar Transatlantic Theatre LLP ('T3'), Business Plan and Invitation to Invest Issued by Tambar Transatlantic Theatre LLC in connection with a fundraising by T3, January 14, 2003			

{W:\044	Date
88\000	Considered